

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62027

Sang-hyun SHIN

Appln. No.: 09/774,008

Group Art Unit: 2151

Confirmation No.: 2294

Examiner: NOT YET ASSIGNED

Filed: January 31, 2001

For:

IP-BASED COMMUNICATION SYSTEM BETWEEN TERMINALS AND METHOD

□THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98 RECEIVED

Commissioner for Patents Washington, D.C. 20231

APR 0 2 2003

Technology Center 2100

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/774,008

Attorney Docket No.: Q62027

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement

can be made a Statement is submitted herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 23,063

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

PATENT TRADEMARK OFFICE

Date: April 1, 2003

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 23,063

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WASHINGTON OFFICE

PATENT TRADEMARK OFFICE

Date: April 1, 2003

6	Substitute for Form 1449 A & B/PTO
APR	INFORMATION DISCLOSURE STATEMENT BY APPLICANT
P.	(use as many sheets as necessary)

	Complete if Known				
ĺ	Application Number	09/774,008			
	Confirmation Number	2294			
	Filing Date	January 31, 2001			
	First Named Inventor	Sang-hyun SHIN			
	Art Unit	2151			
	Examiner Name	NOT YET ASSIGNED			
	Attorney Docket Number	Q62027			

U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number		Publication Date		
Initials*		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Documen	
		US 5,983,090	A	11-09-1999	AOKI	
		US			RECEIVED	
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*		Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		EP	0 781 016	A2	06-25-1997	Sony Corporation	
		CN	1200610	Α	12-02-1998		Office Action
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		

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Examiner Signature		Date Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.